

**Definition:** Let  $f$  be a function with domain  $D$ . Then  $f$  has a **relative maximum value** at a point  $c$  if  $f(x) \leq f(c)$  for all  $x$  in  $D$  lying in some open interval containing  $c$ . A function  $f$  has a **relative minimum value** at a point  $c$  if  $f(x) \geq f(c)$  for all  $x$  in  $D$  lying in some open interval containing  $c$ .

**Theorem:** (First Derivative Theorem for Local Extreme Values) If  $f$  has a relative maximum or minimum value at an interior point  $c$  of its domain, and if  $f'$  is defined at  $c$ , then  $f'(c) = 0$ .

**Proof:** Suppose that  $f$  is differentiable at  $c$  and  $f$  has a local maximum at  $c$ . Then  $f'(c) = \lim_{h \rightarrow 0} \frac{f(c+h) - f(c)}{h}$  exists and is a two-sided limit. For a sufficiently small positive value of  $h$ ,  $f(c+h)$  exists and  $f(c+h) \leq f(c)$  since  $f$  has a local maximum value at  $c$ . Then  $f(c+h) - f(c) \leq 0$ . Divide that by positive  $h$  and get that  $\frac{f(c+h) - f(c)}{h} \leq 0$  and so

$$\lim_{h \rightarrow 0^+} \frac{f(c+h) - f(c)}{h} \leq 0$$

Now let  $h$  be a very small negative number. Then by the same argument,  $f(c+h) \leq f(c)$ . Divide that by a negative  $h$  and get that  $\frac{f(c+h) - f(c)}{h} \geq 0$  and so

$$\lim_{h \rightarrow 0^-} \frac{f(c+h) - f(c)}{h} \geq 0$$

For the two-sided limit  $f'(c)$  to exist, we must have

$$\lim_{h \rightarrow 0^-} \frac{f(c+h) - f(c)}{h} = \lim_{h \rightarrow 0^+} \frac{f(c+h) - f(c)}{h}$$

Since one side is less than or equal to zero and the other is greater than or equal to zero, they both must be zero.

**Definition:** A **critical number** of a function  $f$  is a number  $c$  in its domain such that either  $f'(c) = 0$  or  $f'(c)$  does not exist.

**Theorem:** (Fermat) If  $f$  has a local maximum or minimum at  $c$ , then  $c$  is a critical number of  $f$ .

**Definition:** Let  $f$  be a function with domain  $D$ . Then  $f$  has an **absolute maximum value** on  $D$  at a point  $c$  if  $f(x) \leq f(c)$  for all  $x$  in  $D$  and an **absolute minimum value** on  $D$  at a point  $c$  if  $f(x) \geq f(c)$  for all  $x$  in  $D$ .

**Theorem:** (**Extreme Value Theorem**) If  $f$  is continuous on a closed interval  $[a, b]$ , then  $f$  attains an absolute maximum value  $f(c)$  and an absolute minimum value  $f(d)$  at some numbers  $c$  and  $d$  in  $[a, b]$ .

While this theorem is very important and fundamental, its proof is difficult and so it will not be covered in this class.

**Closed interval method:** To find absolute extrema of a continuous function  $f$  on a closed interval  $[a, b]$ .

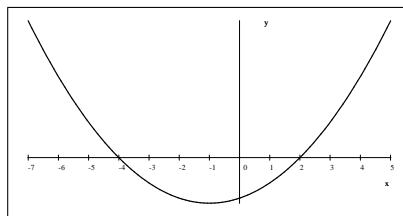
- 1) Find the values of  $f$  at the critical numbers of  $f$  in  $[a, b]$ .
- 2) Find the values of  $f$  at the endpoints of the interval.
- 3) The largest of the values from Steps 1 and 2 is the absolute maximum value; the smallest of these values is the absolute minimum value.

Example: Find all absolute and relative extrema for the function  $f(x) = x^3 + 3x^2 - 24x + 24$  defined on the closed interval  $[-6, 5]$ .

Since this is a cubic polynomial with a positive leading coefficient, we have our initial expectations on end-behavior and one relative maximum, followed by a relative minimum. We first find these relative extrema. Since the function is differentiable everywhere, all critical numbers will occur where the derivative is zero.

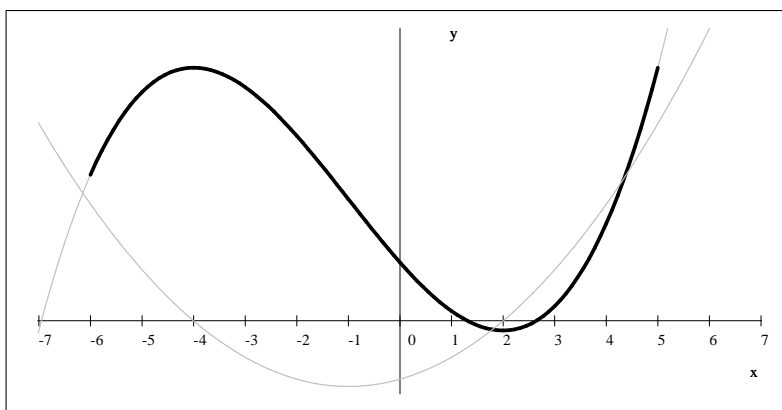
$$\begin{aligned} f'(x) &= 3x^2 + 6x - 24 = 3(x + 4)(x - 2) \\ f'(x) &= 0 \implies x_1 = -4 \text{ and } x_2 = 2 \end{aligned}$$

Based on the graph of  $f'$ , we conclude that  $f'$  changes sign from positive to negative at  $x = -4$  and from negative to positive at  $x = 2$ . Thus  $f$  has a relative maximum at  $x = -4$  and a relative minimum at  $x = 2$ .



To find the absolute extremas, we just need to compare the function values at the critical numbers,  $-4$  and  $2$  and at the endpoints of the domain,  $-6$  and  $5$ . We evaluate the function at these numbers and find that  $f(-6) = 60$ ,  $f(-4) = 104$ ,  $f(2) = -4$ , and  $f(5) = 104$ .

Based on this,  $f$  has an absolute minimum at  $x = -4$  and an absolute maximum at  $x = -4$  and  $x = 5$ .



In summary:

relative minimum:	$(2, -4)$	absolute minimum:	$(2, -4)$
relative maximum:	$(-4, 104)$	absolute maximum:	$(-4, 104)$ and $(5, 104)$

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